

Attorney Docket No. 5405539CT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Redford B. Williams, Jr.**

Application Serial No.: 10/625,134

Group Art Unit: Not Yet Assigned

Filed: **July 23, 2003**

Examiner: Not Yet Assigned

For: ***Use of Polymorphism of the Serotonin Transporter Gene Promoter as a Predictor of Disease Risk***

Date: November 12, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

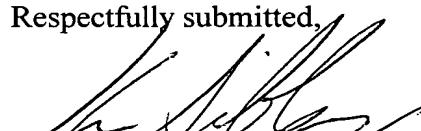
Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

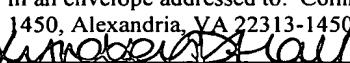
No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

  
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**Certificate of Mailing under 37 CFR 1.8**  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 11, 2003.

  
Lynsey D. Hall, CP  
Certified Paralegal



Substitute form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 1

<p>Substitute form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> <p>Sheet 1 of 1</p>				<p><i>NOV 16 2003</i></p> <p>U.S. PATENT &amp; TRADEMARK OFFICE</p>		<p><b>Complete if Known</b></p>	
				Application Number	10/625,134		
				Filing Date	July 23, 2003		
				First Named Inventor	Redford B. Williams, Jr.		
				Group Art Unit	Not Yet Assigned		
				Examiner Name	Unknown		
				Attorney Docket Number	5405.239CT		

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.